

FORM PTO-1390
(REV. 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

AT Docket Number
670 003

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/555559

PRIORITY DATE CLAIMED

December 4, 1997

INTERNATIONAL APPLICATION NO.

PCT/GB98/03582

INTERNATIONAL FILING DATE

December 3, 1998

TITLE OF INVENTION

Field Electron Emission Materials And Services

APPLICANT(S) FOR DO/EO/US

Richard Allan Tuck and Hugh Edward Bishop

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 37 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known) 09/555559
INTERNATIONAL APPLICATION NO. PCT/GB98/03582AT 670-103
DOCKET NUMBER

CALCULATIONS PTO USE ONLY

17. ☒ The following fees are submitted:

Basic National Fee 37 CFR 1.492(a)(1)-(5)): \$840.00
 Search Report has been prepared by the EPO or JPO \$670.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482) . . \$760.00
 No international preliminary examination fee paid to USPTO (37 CFR 1.482)
 but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00
 Neither international preliminary examination fee (37 CFR 1.482) nor
 international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482)
 and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$840

Surcharge of \$130.00 for furnishing the oath or declaration later than _ 20 _ 30
 months from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate		
Total Claims	61 - 20 =	41	X \$18.00	738	
Independent Claims	1 - 3 =	0	X \$78.00	0	
			+ \$260.00	0	

Multiple dependent claim(s) (if applicable)

TOTAL OF ABOVE CALCULATIONS = 1,578

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement
 must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL = 789

Processing fee of \$130.00 for furnishing the English translation later than _ 20 _ 30 months
 from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE = 789

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED = 829

Amount to be
refunded:

Charged

- a. ☒ A check in the amount of \$829 to cover the above fees is enclosed.
 b. ☐ Please charge my Deposit Account No. 12-0913 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0913. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

William M. Lee, Jr.
 Lee, Mann, Smith, McWilliams, Sweeney & Ohlson
 P.O. Box 2786
 Chicago, Illinois 60690-2786
 (312) 368-1300

SIGNATURE

William M. Lee, Jr.

NAME

26,935

REGISTRATION NUMBER

"Express Mail" mailing label number

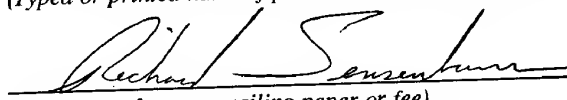
EL388802719US

Date of deposit: May 31, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Richard Sensenbrenner

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)